PX2

CASE 0:15-md-02666-JNE-DTS Doc. 2277-2 Filed 04/11/23 Page 2 of 35

AO 10 Rev. 1/2018

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Schultz, David T.	U.S. District Court for the District of Minnesota	08/08/2018
Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial	6. Reporting Period 01/01/2017 to 12/31/2017
	instructions accompanying this form must be followed. Compl box for each part where you have no reportable information.	ete all parts,
POSITIONS. (Reporting individual only; see pp. 9-13 of NONE (No reportable positions.) POSITION		ANIZATION/ENTITY
	Por .	
	<u> </u>	
I. AGREEMENTS. (Reporting individual only; see p. NONE (No reportable agreements.)	n. 14-16 of filling instructions.)	
DATE	PARTIES AND TERMS	
2017 Retirement sav	rings plan with Maslon (former employer)	

CASE 0:15-md-02666-JNE-DTS Doc. 2277-2 Filed 04/11/23 Page 3 of 35 Name of Person Reporting Date of Report FINANCIAL DISCLOSURE REPORT Page 2 of 34 Schultz, David T. 08/08/2018 III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.) A. Filer's Non-Investment Income NONE (No reportable non-investment income.) DATE SOURCE AND TYPE INCOME (yours, not spouse's) \$20,000.00 1.2017 Self-employed - attorney 2. 3. B. Spouse's Non-Investment Income - 1/ you were married during any portion of the reporting year, complete this section. (Dollar amount not required except (or honoraria.) NONE (No reportable non-investment income.) DATE SOURCE AND TYPE 1.2017 Fairview Health Systems (salary) 2. IV. REIMBURSEMENTS - transportation, lodging, Jood, entertainment. (Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.) NONE (No reportable reimbursements.) SOURCE DATES LOCATION PURPOSE ITEMS PAID OR PROVIDED

S Doc. 2277-2 Filed 04/11/23	Page 4 of 35
Name of Person Reporting	Date of Report
Schultz, David T.	08/08/2018
28-31 of filling instructions.)	
DESCRIPTION	VALUE
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ildren; see pp. 32-33 of filing instructions.)	
DESCRIPTION	VALUE CODE
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957	25 US
A	
	Name of Person Reporting Schultz, David T. 28-31 of filing instructions.) DESCRIPTION ildren; see pp. 32-33 of filing instructions.)

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5.

CASE 0:15-md-02666-JNE-DTS Doc. 2277-2 Filed 04/11/23 Page 5 of 35

FINANCIAL DISCLOSURE REPORT

Page 4 of 34

Name	of	Person	Re	porting

Schultz, David T.

Date of Report

08/08/2018

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)	repor	B. me during ting period	Gross va of reporti	lue at end ng period	D. Transactions during reporting period					
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
	BROKERAGE ACCOUNT #1 (H)					ř.					
2.	UBS CASH FLOW ACCOUNT (CASH ACCOUNT)	A	Interest	J	Т						
3.	BROKERAGE ACCOUNT #2 (H)										
1.	UBS BANK USA DEP ACCT	A	Interest	J	Т	3	, s (S				
5.	BROKERAGE ACCOUNT #3 (H)					c.	. 0				
5.	UBS BANK USA DEP ACCT	A	Interest	J	Т						
7.	VANGUARD TOTAL WORLD STK ETF (VT)	A	Dividend	J	Т	Buy (add'l)	11/03/17	J			
8.	PIMCO STOCKPLUS INTL FUND (PIHUX)	A	Dividend	J	Т	Buy (add'l)	09/11/17	1			
).						Sold (part)	11/03/17	1	A		
10.	VOYA GLOBAL REAL ESTATE FUND (IGLIX)	В	Dividend	J	Т	Sold (part)	11/02/17	J			
11.	VOYA SMALLCAP OPPORTUNITIES FUND CLASS (NSPIX)	A	Dividend	1	Т	Buy (add'l)	11/02/17	J			
12.	PIMCO ENHANCED SHORT MAT ACTIVE EXCHANGE-TRADED FD (MINT)	A	Dividend	J	Т	Sold (part)	09/12/17	J			
13.	VANGUARD MORTGAGE BACKED SECURITIES ETF (VMBS)	A	Dividend	1	Т						
14.	ANGEL OAK MULTI-STRATEGY INCOME FUND CLASS INSTL (ANGIX)	A	Dividend	J	Т	Sold (part)	11/02/17	J			
15.	LORD ABBETT BOND DEBENTURE FUND (LBDFX)	A	Dividend	1	Т	6 - 6 W.O. (2 K)					
6.	PIMCO DIVERSIFIED INCOME FUND (PDVPX)	A	Dividend	J	Т	:	18	::	3		

1. Income Gain Codes: (See Columns B1 and D4) 2. Value Codes (See Columns C1 and D3)

A =\$1,000 or less F =\$50,001 - \$100,000 J-\$15,000 or less N=\$250,001 - \$500,000 B=\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000

C=\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =550,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

D=\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 E=\$15,001 - \$50,000

P3 =\$25,000,001 - \$50,000,000 Q =Appraisal

U -Book Value

R =Cost (Real Estate Only) S =Assessment T -Cash Market

3. Value Method Codes (See Column C2)

V =Other

W-Estimated

CASE 0:15-md-02666-JNE-DTS Doc. 2277-2 Filed 04/11/23 Page 6 of 35

FINANCIAL DISCLOSURE REPORT

Page 5 of 34

Name of Person Reporting

Date of Report

Schultz, David T.

08/08/2018

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets		B. me during	Gross va	C. lue at end		Transacti	D. ons during	reporting pe	riod
	(including trust assets)	repor (1)	ting period (2)	of reporti	ing period (2)	(1)	(2)	(3)	(4)	(5)
	Place "(X)" after each asset exempt from prior disclosure	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
17.	TEMPLETON GLBAL BOND ADV (TGBAX)	A	Dividend	J	Т	Buy (add'l)	11/02/17	J		
18.	VANGUARD INTERMEDIATE TERM TREASURY FUND ADM (VFIUX)	A	Dividend	1	Т	20				
19.	VIRTUS MULTI-SECTOR SHORT TERM BOND FUND (PIMSX)	A	Dividend	J	Т	Sold	09/11/17	J	A	
20.						Buy	11/02/17	J		
21.	LONGBOARD MANAGED FUTURES STRATEGY FUND (WAVIX)		None	J	Т	Sold (part)	11/02/17	J		
22.	RIVERPARK LONG/SHORT OPPORTUNITY FUND (RLSIX)	A	Dividend	1	Т	Sold (part)	11/02/17	J	A	
23.	AMER FUNDS AMERICAN BALANCED FUND (AMBFX)	A	Dividend	J	Т					
24.	SPDR GOLD SHARES ETF DE (GLD)		None	1	Т	Buy	09/12/17	J		
25.						Sold (part)	11/03/17	J		
26.	IRA #1 (H)									
27.	UBS BANK USA DEP ACCT	A	Interest	L	Т					
28.	ADOBE SYSTEMS INC (DELAWARE) (ADBE)		None	K	Т	Sold (part)	04/27/17	J	A	
29.						Buy (add'l)	09/21/17	J		
30.	ALPHABET INC CL A (GOOGL)		None	K	Т	Sold (part)	04/27/17	J	A	
31.				3		Buy (add'l)	09/21/17	J		
32.	ALPHABET IN CL C (GOOG)		None	K	Т	Sold (part)	04/27/17	J	A	
33.			8	22		Buy (add'l)	09/21/17	J	1.00	

1. Income Gain Codes:	
(See Columns B1 and D4)	
2. Value Codes	

A =\$1,000 or less F =\$50,001 - \$100,000 J-\$15,000 or less N =\$250,001 - \$500,000

U -Book Value

B=\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K -515,001 - \$50,000 O-\$500,001 - \$1,000,000 C=\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000

D=\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000 E=\$15,001 - \$50,000

(See Columns C1 and D3)

P3 =\$25,000,001 - \$50,000,000 Q =Appraisal

R =Cost (Real Estate Only)

P4 =More than \$50,000,000 S =Assessment W -Estimated

T -Cash Market

CASE 0:15-md-02666-JNE-DTS Doc. 2277-2 Filed 04/11/23 Page 7.of 35

FINANCIAL DISCLOSURE REPORT

Page 6 of 34

Name of Person Reporting

Date of Report

(See Column C2)

U =Book Value

Schultz, David T.

08/08/2018

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A.	12,536	B.		1		_	D.		264
	Description of Assets (including trust assets)		me during ting period	10.000000000000000000000000000000000000	lue at end ng period		Transacti	ons during	reporting pe	riod
	Place "(X)" after each asset exempt from prior disclosure	(I) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34.	AMAZON,COM INC (AMZN)		None	К	Т	Sold (part)	04/27/17	J	A	
35.						Buy (add'l)	09/21/17	J		
36.	BANK OF AMERICA CORP (BAC)	A	Dividend	1	Т	Sold (part)	04/27/17	J	A	
37.				5		Buy (add'l)	09/21/17	J		
38.	CELGENE CORP (CELG)		None	1	T	Sold (part)	04/27/17	J		
39.						Buy (add'l)	09/21/17	J		
40.	FACEBOOK INC CL A (FB)		None	K	Т	Buy (add'l)	09/21/17	J		
41.	LOREAL CO ADR FRANCE ADR (LRLCY)	A	Dividend	1	Т	Buy (add'l)	05/02/17	J		
42.						Buy (add'l)	09/21/17	J		
43.	MICROSOFT CORP (MSFT)	Α	Dividend	К	Т	Sold (part)	04/27/17	J	A	
44.				200		Buy (add'l)	09/21/17	J		
45.	CONSUMER DISCRETIONARY SELECT SECTOR SPDR FUND ETF (XLY)	A	Dividend	K	Т	Sold (part)	04/27/17	J	A	
46.						Sold (part)	08/14/17	J	В	
47.						Buy (add'l)	09/21/17	J		
48.	ENERGY SELECT SECTOR SPDR FUND (XLE)	A	Dividend	1	T	Sold (part)	04/27/17	J	A	
49.				3		Buy (add'l)	09/21/17	J		
50.	FINANCIAL SELECT SECTOR SPDR FUND ETF (XLF)	A	Dividend	K	Т	Sold (part)	04/27/17	J	A	

1. Income Gain Codes:	A =\$1,000 or less	B=\$1,001 - \$2,500	C=\$2,501 - \$5,000	D=\$5,001 - \$15,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G=\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000
2. Value Codes	J=\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M -\$100,001 - \$250,000
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 -\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000	
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	5 =Assessment	T =Cash Market

V =Other

W -Estimated

CASE 0:15-md-02666-JNE-DTS Doc. 2277-2 Filed 04/11/23 Page 8 of 35 Name of Person Reporting

FINANCIAL DISCLOSURE REPORT

Date of Report

Page 7 of 34

Schultz, David T.

08/08/2018

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filling instructions.)

NONE	Ma	ranartable	income	nevate	eve	transactions.
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	A.		B.	(3			D.		
	Description of Assets (including trust assets)		me during ting period	0.0000000000000000000000000000000000000	lue at end ing period		Transacti	ons during	reporting pe	riod
	Place "(X)" after each asset exempt from prior disclosure	(I) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(I) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
51.	nt					Buy (add'l)	09/21/17	J		
52.	HEALTH CARE SELECT SECTOR SPDR FUND ETF (XLV)	A	Dividend	K	Т	Sold (part)	04/27/17	J	A	
53.						Buy (add'l)	09/21/17	J		
54.	INDUSTRIAL SELECT SECTOR SPDR FUND ETF (XLI)	A	Dividend	K	Т	Sold (part)	04/27/17	J	A	
55.	ISHARES RUSSELL 2000 ETF (IWM)	A	Dividend	J	Т	Sold (part)	04/27/17	J	A	
56.				2		Buy (add'l)	09/21/17	J		
57.	REAL ESTATE SELECT SECT SPDR ETF (XLRE)	A	Dividend			Sold (part)	04/27/17	J		
58.			5			Sold	08/15/17	J	A	
59.	SPDR S&P PHARMACEUTICALS ETF (XPH)	A	Dividend			Sold (part)	04/27/17	J		
60.		,		2)		Sold	08/15/17	J		
61.	VANGUARD TOTAL STOCK MKT ETF (VTI)	A	Dividend	K	Т	Sold (part)	04/27/17	1	A	
62.				3		Buy (add'l)	09/21/17	J		
63.	VANGUARD S&P 500 ETF (VOO)	A	Dividend	К	Т	Sold	04/27/17	J	A	
64.				2	2	Buy	09/21/17	J		
65.	VANGUARD FTSE PAC ETF (VPL)	A	Dividend	J	Т	Buy	04/27/17	J		
66.						Buy (add'l)	09/21/17	J		
67.	VANGUARD FTSE EUROPE ETF (VGK)	Α	Dividend	К	Т	Buy	04/27/17	K		

Income Gain Codes:	A =\$1,000 or less	B=\$1,001 - \$2,500	C=\$2,501 - \$5,000	D=\$5,001 - \$15,000	E=\$15,001 - \$50,000
(See Columns B1 and D4)	F=\$50,001 - \$100,000	G=\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N=\$250,001 - \$500,000	O-\$500,001 - \$1,000,000	P1 -\$1,000,001 - \$5,000,000	P2 =\$5,000,001 = \$25,000,000	
See the month of the second	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R=Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

CASE 0:15-md-02666-JNE-DTS Doc. 2277-2 Filed 04/11/23 Page 9 of 35 Name of Person Reporting

FINANCIAL DISCLOSURE REPORT

Date of Report

Page 8 of 34

Schultz, David T.

08/08/2018

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

	A. Description of Assets (including trust assets)	B. Income during reporting period		Gross va	lue at end ing period	D. Transactions during reporting period					
	Place "(X)" after each asset exempt from prior disclosure			(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
68.	-					Buy (add'l)	09/21/17	J			
69.	VANGUARD FTSE EMERGING MARKETS ETF (VWO)	A	Dividend	1	Т	Buy	04/27/17	J			
70.				92		Buy (add'l)	09/21/17	J			
71.	OPPENHEIMER INTERNATIONAL GROWTH FUND (OIGYX)	A	Dividend	1	Т	Buy (add'l)	09/20/17	J			
72.	WILLIAM BLAIR EMERGING MARKETS SMALL CAP GROWTH FUND (BESIX)	A	Dividend	К	Т	Buy	04/27/17	K			
73.				2)		Buy (add'l)	09/21/17	1			
74.	ISHARES TIPS BOND ETF (TIP)	A	Dividend	К	Т	Sold (part)	04/27/17	1			
75.						Buy (add'l)	09/20/17	J			
76.	AMER FUNDS THE BOND FUND OF AMERICA FUND (ABNFX)	A	Dividend	К	Т	Sold (part)	04/27/17	J			
77.				(2)		Buy (add'l)	09/20/17	1			
78.	ANGEL OAK FLEXIBLE INCOME FUND (ANFIX)	A	Dividend	К	Т	Buy (add'l)	09/20/17	1			
79.	DREYFUS/STANDISH GLOBAL FIXED INCOME FUND (SDGIX)	A	Dividend	К	Т	Sold (part)	04/27/17	J			
80.						Buy (add'l)	09/20/17	J			
81.	EATON VANCE FLOATING RATE ADVANTAGE (EIFAX)	A	Dividend	1	Т	Buy (add'l)	09/20/17	J.			
82.	EV MULTISECTOR INCOME FUND (EVBIX)	A	Dividend	J	Т	Buy (add'l)	09/20/17	1			
83.	PRUDENTIAL TOTAL RETURN BOND FUND (PDBZX)	A	Dividend	К	Т	Sold (part)	04/27/17	J			

Income Gain Codes:	A =\$1,000 or less	B=\$1,001 - \$2,500	C=\$2,501 - \$5,000	D=\$5,001 - \$15,000	E=\$15,001 - \$50,000
(See Columns B1 and D4)	F=\$50,001 - \$100,000	G=\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J=\$15,000 or less	K =\$15,001 - \$50,000	L =550,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N=\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 -\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
et connect with the text and the	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R=Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V=Other	W =Estimated		

Page 10 of 35 Date of Report CASE 0:15-md-02666-JNE-DTS FINANCIAL DISCLOSURE REPORT Doc. 2277-2 Name of Person Reporting Filed 04/11/23

Page 9 of 34

Schultz, David T.

08/08/2018

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

	Α.	В.		C. Gross value at end		D.					
	Description of Assets (including trust assets)	1	me during ting period	of reporting period		Transactions during reporting period					
	Place "(X)" after each asset exempt from prior disclosure	(I) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
34.			2			Buy (add'l)	09/20/17	J			
85.	GOLDMAN SACHS MULTI-MANAGER ALTS FUND (GSMMX)		None	3		Sold	08/10/17	J	A		
86.	LONGBOARD MANAGED FUTURES STRATEGY FUND (WAVIX)		None	K	Т	Sold (part)	04/27/17	J			
87.					\$	Buy (add'l)	09/20/17	J			
88.	SPDR GOLD SHARES ETF DE (GLD)		None	K	Т	Buy	08/15/17	К			
89.				8		Buy (add'l)	09/21/17	J			
90.	IRA #2 (H)										
91.	UBS BANK USA DEP ACCT (CASH)	A	Interest	М	Т		38	::			
92.	SKYBRIDGE MULTI-ADVISER HEDGE FD PORTFOLIOS LLC SER A/O		None	L	Т						
93.	ABBEY CAPITAL MULTI-MANAGER FUND LTD		None	К	Т						
94.	CITIGROUP GLOBAL MARKETS CB- GEARS SPX		None	K	Т	Buy	08/31/17	K			
95.	HSBC USA INC CT-GEARS EEM		None	К	Т	Buy	09/29/17	K			
96.	JPMORGAN CHASE FINANCIAL CT- GEARS RTY		None	K	Т	Buy	09/29/17	K			
97.	IRA #3 (H)										
98.	UBS BANK USA DEP ACCT	A	Interest	K	Т						
99.	ACCENTURE PLC IRELAND CL A (ACN)	A	Dividend	J	Т	Buy (add'l)	09/14/17	J	. 5		
100.	ALPHABET INC CL A (GOOGL)		None	К	Т	Buy (add'l)	09/14/17	J			

Income Gain Codes:	A =\$1,000 or less	B=\$1,001 - \$2,500	C=\$2,501 - \$5,000	D=\$5,001 - \$15,000	E=\$15,001 - \$50,000
(See Columns B1 and D4)	F=\$50,001 - \$100,000	G=\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L=\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N=\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 -\$1,000,001 - \$5,000,000	P2 -\$5,000,001 - \$25,000,000	
PANALON PARALON PARALON PROPERTY AND PROPERT	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T -Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

Filed 04/11/23 Page 11 of 35 CASE 0:15-md-02666-JNE-DTS FINANCIAL DISCLOSURE REPORT Doc. 2277-2 Name of Person Reporting

Page 10 of 34

Schultz, David T.

08/08/2018

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
101. AMERICA TOWER CORP REIT (AMT)	A	Dividend	J	Т	Buy (add'l)	09/11/17	1		
102. CELGENE CORP (CELG)		None	J	Т	Buy (add'l)	09/14/17	J		
103. CHEVRON CORP (CVX)	A	Dividend	1	Т	Buy (add'l)	09/14/17	J		
104. COLGATE PALMOLIVE CO (CL)	A	Dividend	J	T	Buy (add'l)	09/14/17	J		
105. EDWARDS LIFESCIENCES CORP (EW)		None	J	Т					
106. EXXON MOBIL CORP (XOM)	A	Dividend			Sold	09/14/17	J		
107. FACEBOOK INC CL A (FB)		None	К	Т	Buy (add'l)	09/14/17	J		
108. FEDEX CORP (FDX)	A	Dividend	J	Т	Buy (add'l)	09/14/17	J		
109. FORD MOTOR CO COM NEW (F)	A	Dividend			Sold	09/11/17	1		
110. GENL ELECTRIC CO (GE)	A	Dividend			Sold	09/14/17	J		
111. GILEAD SCIENCES INC (GILD)	A	Dividend	1	Т					
112. INTEL CORP (INTC)	A	Dividend	J	Т	3	8 6			
113. INTERCONTINENTAL EXCHANGE GROUP (ICE)	A	Dividend	J	Т	Buy (add'l)	09/14/17	1		
114. JPMORGAN CHASE & CO (JPM)	A	Dividend	J	Т	Buy (add'l)	09/14/17	J		
115. LAUDER ESTEE COS CL A DE (EL)	A	Dividend	J	Т	Buy	09/14/17	J		
116. LULULEMON ATHLETICA INC (LULU)		None	J	Т					
117. MICROSOFT CORP (MSFT)	A	Dividend	К	Т	Buy (add'l)	09/14/17	J		

Income Gain Codes:	A =\$1,000 or less	B=\$1,001 - \$2,500	C=\$2,501 - \$5,000	D=\$5,001 - \$15,000	E=\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J=\$15,000 or less	K =\$15,001 - \$50,000	L =550,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N=\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 -\$1,000,001 - \$5,000,000	P2 -\$5,000,001 - \$25,000,000	
Metal-Charles Carl Metal.	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S=Assessment	T -Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

CASE 0:15-md-02666-JNE-DTS Doc. 2277-2 Filed 04/11/23 Page 12 of 35 Pate of Reporting

Page 11 of 34

Schultz, David T.

08/08/2018

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filling instructions.)

NONE (No reportable income, assets, or transactions.)	NONE	(No reportable	income, assets.	or transactions.)
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A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(I) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. MONDELEZ INTL INC DE (MDLZ)	A	Dividend	J	Т	Buy	09/11/17	J		
119.					Buy (add'l)	09/14/17	J		
20. MYLAN N V EUR DE (MYL)		None	J	Т	Buy	09/14/17	J		
21. O REILLY AUTOMOTIVE INC (ORLY)		None			Sold	09/11/17	1		
122. PALO ALTO NETWORKS INC (PANW)		None	J	Т	Buy (add'l)	09/14/17	J		
23. PAYPAL HOLDINGS INC (PYPL)		None	J	Т	Buy (add'l)	09/14/17	J		
24. PEPSICO INC (PEP)	A	Dividend	1	Т	Buy (add'l)	09/14/17	J		
125. PULTE GROUP INC DE (PHM)	A	Dividend	1	Т	Buy	09/14/17	J		
26. ROBO GLOBAL ROBOTICS & AUT INDEX ETF DE (ROBO)	A	Dividend	J	Т	Buy	09/14/17	J	8	
 SCHLUMBERGER LTD NETHERLANDS ANTILLES (SLB) 	A	Dividend			Sold	09/14/17	J		
128. THERMO FISHER SCIENTIFIC INC (TMO)	A	Dividend	1	Т					
129. TJX COS INC NEW (TJX)	A	Dividend			Buy (add'l)	09/14/17	J		
130.					Sold	10/20/17	J	A	
131. UNTD TECHNOLOGIES CORP (UTX)	A	Dividend	1	Т	Buy (add'I)	09/14/17	J		
132. US BANCORP DEL (NEW) (USB)	A	Dividend	J	Т	Buy (add'I)	09/14/17	J		
133. WALT DISNEY CO HOLDING CO (DIS)	A	Dividend			Sold	09/14/17	J		
134. WHIRLPOOL CORP DE (WHR)	A	Dividend	J	Т	Buy	09/11/17	J		

1.	income Gain Codes.
	(See Columns B1 and D4)
2.	Value Codes
	(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U -Book Value

B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000

R -Cost (Real Estate Only)

V =Other

C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000 S=Assessment

W -Estimated

D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000

T -Cash Market

E=\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT Filed 04/11/23 Page 13 of 35 Page 13 of 35 Page of Report

Page 12 of 34

Schultz, David T.

08/08/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets	B. Income during		C. Gross value at end		D. Transactions during reporting period					
(including trust assets)	213.522	ting period	of reporting period		reassactions daring reporting period					
Place "(X)" after each asset exempt from prior disclosure	(I) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)	
35.					Buy (add'l)	09/14/17	J			
36. 3M CO (MMM)	A	Dividend	J	Т	Buy (add'l)	09/14/17	J			
37. ISHARES RUSSELL 2000 GROWTH ETF (IWO)	A	Dividend	J	Т	Buy (add'l)	09/14/17	J			
38. VANECK VECTORS MORNINGSTAR WIDE MOAT ETF (MOAT)	A	Dividend	К	Т	Buy (add'l)	09/14/17	J			
39. VANGUARD S&P 500 ETF (VOO)	A	Dividend	J	Т	Buy (add'l)	09/14/17	J			
40. IRA #4 (H)		ē.		5		18 18	:			
41. UBS BANK USA DEP ACCT	A	Dividend	L	Т						
42. ALIBABA GROUP HLDG LTD SPON ADR (BABA)		None	J	Т	Buy (add'l)	07/21/17	J			
143.					Buy (add'l)	09/21/17	1			
44. AKAMAI TECHNOLOGIES INC DE (AKAM) (Y)						18 38	::			
45. AMAZON.COM INC (AMZN)		None	1	Т	Buy (add'l)	07/21/17	J			
46.			8		Buy (add'l)	09/21/17	J			
47. AMERIPRISE FINANCIAL INC (AMP)	A	Dividend	J	Т	Buy (add'l)	07/21/17	J			
48. ANDEAVOR COM (ANDV) (FORMERLY TESORO CORP (TSO))	A	Dividend	J	Т	Buy (add'l)	07/21/17	J			
49.					Buy (add'l)	09/21/17	J			
50. BROADCOM LTD (AVGO)	A	Dividend	J	Т	Buy (add'l)	07/21/17	J			
151.					Buy (add'l)	09/21/17	J			

1. Income Gain Codes: (See Columns B1 and D4) 2. Value Codes (See Columns C1 and D3) A =\$1,000 or less F =\$50,001 - \$100,000 J=\$15,000 or less N =\$250,001 + \$500,000 P3 =\$25,000,001 - \$50,000,000

B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O=\$500,001 - \$1,000,000 C=\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S=Assessment

W -Estimated

D=\$5,001 - \$15,000 H2 =More than \$5,000,000 M -\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 13 of 34

Schultz, David T.

Page 14 of 35 are of Report

Schultz, David T.

O8/08/2018

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

A.	B. Income during		1.000	C. Gross value at end		D.					
Description of Assets (including trust assets)		ne during ting period	of reporting period		Transactions during reporting period						
Place "(X)" after each asset exempt from prior disclosure	(I) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)		
52. CELGENE CORP (CELG)		None	J	Т	Buy (add'l)	07/21/17	J				
153.			2	37	Buy (add'l)	09/21/17	J				
54. CITIGROUP INC (C)	A	Dividend	J	Т	Sold	04/10/17	1	A			
55.					Buy	07/21/17	J				
56.					Buy (add'l)	09/21/17	J				
57. CTRIP.COM INTL LTD ADS (CTRP)		None	J	Т	Buy (add'l)	07/21/17	J				
158.					Buy (add'l)	09/21/17	1				
59. DANAHER CORP (DHR)	A	Dividend	J	Т	Buy (add'l)	07/21/17	J				
60.					Buy (add'l)	09/21/17	J				
61. DENTSPLY SIRONA INC (XRAY)	A	Dividend	J	Т	Buy (add'l)	07/21/17	J.				
62.					Buy (add'l)	09/21/17	1				
63. ELECTRONIC ARTS (EA)		None	J	Т	Buy (add'l)	07/21/17	J				
64.					Buy (add'l)	09/21/17	J				
65. EXPEDIA INC (EXPE)	A	Dividend	J	Т	Buy (add'l)	07/21/17	J				
66.					Buy (add'l)	09/21/17	1				
67. EXPRESS SCRIPTS HLDG CO (ERSX)		None	J	Т	Buy (add'l)	07/21/17	J				
168.					Buy (add'l)	09/21/17	J				

A =\$1,000 or less	B=\$1,001 - \$2,500	C=\$2,501 - \$5,000	D=\$5,001 - \$15,000	E=\$15,001 - \$50,000
F=\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
J=\$15,000 or less	K =\$15,001 - \$50,000	L ~550,001 - \$100,000	M ~\$100,001 - \$250,000	
N=\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 -\$1,000,001 - \$5,000,000	P2 =\$5,000,001 = \$25,000,000	
P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
U =Book Value	V =Other	W =Estimated		
	F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000 Q=Appraisal	F = \$50,001 - \$100,000	F = \$50,001 - \$100,000	F = \$50,001 - \$100,000

FINANCIAL DISCLOSURE REPORT DT SName Of Serving Filed 04/11/23 Page 15 of Shale of Report Page 14 of 34 Schultz, David T. 08/08/2018

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A.	B.		C.		D.					
Description of Assets (including trust assets)	C 1035757	me during ting period	ACCUMULATION	lue at end ing period	Transactions during reporting period					
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
169. FORTIVE CORP (FTV) (Y)		<u> </u>		18						
170. HANESBRANDS INC (HBI)	A	Dividend	J	Т	Buy (add'l)	07/21/17	J			
171.					Buy (add'l)	09/21/17	J			
72. INCYTE CORP (INCY)		None	1	Т	Buy (add'l)	07/21/17	J			
173.			8		Buy (add'l)	09/21/17	J			
74. INTERCONTINENTAL EXCHANGE GROUP (ICE)	A	Dividend	J	Т	Buy (add'I)	07/21/17	J			
175.				5	Buy (add'l)	09/21/17	J			
176. LEAR CORP COM NEW (LEA)	A	Dividend	J	Т	Buy (add'l)	07/21/17	J			
177.			3		Buy (add'l)	09/21/17	J			
178. MCKESSON CORP (MCK)	A	Dividend	1	Т	Buy (add'l)	07/21/17	J			
179.					Buy (add'l)	09/21/17	J			
180. NVIDIA CORP (NVDA)	A	Dividend	J	T	Buy (add'l)	07/21/17	J			
181.			8		Buy (add'l)	09/21/17	J			
182. PVH CORP COM (PVH)	A	Dividend	1	Т	Buy (add'l)	07/21/17	J			
183.				e e	Buy (add'l)	09/21/17	J			
184. SERVICE CORP INTL (SCI)	A	Dividend	J	Т	Buy (add'l)	07/21/17	J			
185.					Buy (add'l)	09/21/17	1			

Income Gain Codes:
 (See Columns B1 and D4)
 Value Codes
 (See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000 Q =Appraisal

U -Book Value

B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment

W-Estimated

C=\$2,501 - \$5,000

D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000

T -Cash Market

E=\$15,001 - \$50,000

Page 15 of 34

Page 15 of 34

Page 16 of 35ac of Report
Schultz, David T.

Page 16 of 35ac of Report
08/08/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filling instructions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. SERVICEMASTER GLOBAL HLDGS INC (SERV)		None			Sold	01/31/17	J	A	
87. TJX COS INC NEW (TJX)	A	Dividend	1	Т	Buy (add'l)	07/21/17	J		
88.					Buy (add'l)	09/21/17	J		
89. UNDER ARMOUR INC CL A (UAA)		None			Sold	04/10/17	1		
90. UNDER ARMOUR INC CL C (UA) (Y)					10				
91. UNTD TECHNOLOGIES CORP (UTX)	A	Dividend	1	Т	Buy (add'l)	07/21/17	J		
92.			3)	7	Buy (add'l)	09/21/17	J.		
93. VISTEON CORP COM NEW (VC)		None	J	Т	Buy (add'l)	07/21/17	1		
94.					Buy (add'l)	09/21/17	J		
95. ISHARES RUSSELL 2000 GROWTH ETF (IWO)	A	Dividend	1	Т	Buy (add'l)	07/21/17	J		
96.			2)		Buy (add'l)	09/21/17	J		
97. ISHARES NASDAQ BIOTECHNOLOGY ETF (IBB)	A	Dividend	J	Т	Buy (add'l)	07/21/17	1		
98.					Buy (add'l)	09/21/17	J		
99. ISHARES US AEROSPACE & DEFENSE ETF (ITA)	A	Dividend	1	Т	Buy (add'l)	01/31/17	J		
200.				X	Buy (add'l)	07/21/17	J		
201.					Buy (add'l)	09/21/17	1		
202. ROBO GLOBAL ROBOTICS & AUT INDEX ETF DE (ROBO)	A	Dividend	J	Т	Buy	04/10/17	J		

1. Income Gain Codes:	A =\$1,000 or less	B=\$1,001 - \$2,500	C=\$2,501 - \$5,000	D=\$5,001 - \$15,000	E=\$15,001 - \$50,000
(See Columns B1 and D4)	F=\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J=\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M=\$100,001 - \$250,000	
(See Columns C1 and D3)	N=\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 -\$1,000,001 - \$5,000,000	P2 -\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W=Estimated		

FINANCEATS FISCEON REGORD TO Sharp Of Supering Filed 04/11/23 Page 17 of Supering Page 16 of 34 Schultz, David T. Page 17 of Supering Page 17 of Supering Page 18 of 34

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of (illing instructions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		Gross va	lue at end ng period		Transaction	D. ons during	reporting pe	riod
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
203.		2 3			Buy (add'l)	07/21/17	1		
204.					Buy (add'l)	09/21/17	J		
205. IRA #5 (H)									
206. UBS BANK USA DEP ACCT	A	Interest	J	Т	3	8 8			
207. ADIENT PLC (ADNT)	A	Dividend	J	Т	Buy	08/09/17	1		
208. ASTRAZENECA PLC SPON ADR (AZN)	A	Dividend			Sold	08/04/17	J		
209. BLACKROCK INC DE (BLK)	A	Dividend	J	Т	Buy	08/09/17	J		
210. BRITISH AMER TABACCO PLC GB SPON ADR (BTI)	А	Dividend	J	Т	8	S 0			
211. CITIGROUP INC (C)	A	Dividend	J	Т	Buy	08/09/17	J		
212. CME GROUP INC (CME)	A	Dividend	J	Т	Buy (add'l)	08/09/17	J		
213. COCA COLA CO COM (KO)	A	Dividend			Sold	08/04/17	J	A	
214. CVS HEALTH CORP (CVS)	A	Dividend	3		Sold	08/04/17	1		
215. GENL MILLS INC (GIS)	A	Dividend			Sold	08/04/17	1	A	
216. HOME DEPOT INC (HD)	A	Dividend	J	Т					
217. HONEYWELL INTL INC (HON)	A	Dividend	К	Т	Sold (part)	08/04/17	J	В	
218. ILLNOIS TOOL WORKS INC (ITW)	A	Dividend	J	Т	Sold (part)	08/04/17	J	В	
219. INTEL CORP (INTC)	A	Dividend	J	Т	Buy (add'l)	08/09/17	1		

Income Gain Codes:	A =\$1,000 or less	B=\$1,001 - \$2,500	C=\$2,501 - \$5,000	D=\$5,001 - \$15,000	E=\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G=\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J=\$15,000 or less	K =\$15,001 - \$50,000	L =550,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N=\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 -\$1,000,001 - \$5,000,000	P2 -\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCEAS FISCHEOR FREGOR PONET DTS Number 00 Filed 04/11/23 Page 18 of 350 of Report

Page 17 of 34 Schultz, David T. 08/08/2018

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filling instructions.)

NONE	(No reportab	La income	annata an	forman and the same	4
NONE	ino reportab	ie income, i	assets, or	u ansacuons.	,

A. Description of Assets (including trust assets)	B. Income during reporting period		Gross va	lue at end ing period		Transactio	D. ons during	reporting pe	riod
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
220. JOHNSON CTLS INTL PLC (JCI) (Y)			ie.			- 8			
221. JOHNSON & JOHNSON COM (JNJ)	A	Dividend	J	Т	Buy (add'l)	08/09/17	J		
222. LOCKHEED MARTIN CORP (LMT)	A	Dividend	J	Т	Buy (add'l)	08/09/17	J		
223. NEXTERA ENERGY INC COM (NEE)	A	Dividend	K	T					
224. PACCAR INC (PCAR) (X)	A	Dividend	J	Т	Buy (add'l)	08/09/17	J		
225. PEPSICO INC (PEP)	A	Dividend	1	Т					
226. PRAXAIR (PX)	A	Dividend	J	Т	Buy	08/09/17	J		
227. ROCKWELL COLLINS (COL)	A	Dividend	1	Т	Buy	08/09/17	J		
228. ROCKWELL AUTOMATION (ROK)	A	Dividend	J	Т	Buy	08/09/17	J	18	
229. STARBUCKS CORP (SBUX)	A	Dividend	J	Т	Buy (add'l)	08/09/17	J		
230. TAIWAN SEMICONDUCTOR MFG CO LTD ADR (TSM)	A	Dividend	J	Т	Buy (add'l)	08/09/17	1		
231. TEXAS INSTRUMENTS (TXN)	A	Dividend	1	Т					
232. 3M CO (MMM)	A	Dividend	J	Т	1	10 00	::	: 5	
233. ISHARES MSCI EAFE VAL ETF (EFV) (X)	A	Dividend	J	Т	Buy (add'l)	08/09/17	J		
234. SPDR S&P DIVIDEND ETF (SDY)	A	Dividend	J	Т					
235. VANGUARD VALUE ETF (VTV)	A	Dividend	J	Т	Buy (add'l)	08/09/17	J		
236. VANGUARD REIT ETF (VNQ)	A	Dividend	J	Т	Sold (part)	08/04/17	J	A	

1. Income Gain Codes:	A =\$1,000 or less	B=\$1,001 - \$2,500	C=\$2,501 - \$5,000	D=\$5,001 - \$15,000	E=\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G=\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J=\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N=\$250,001 - \$500,000	O-\$500,001 - \$1,000,000	P1 -\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIALS EISCLEOBORD REGOOD PLANTED TS National Correspondence of Property Propert

Page 18 of 34

Schultz, David T.

08/08/2018

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filling instructions.)

A: Description of Assets (including trust assets)	B. Income during reporting period		Gross va	2. lue at end ing period	D. Transactions during reporting period					
Place "(X)" after each asset exempt from prior disclosure	(I) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(I) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
237. GOLDMAN SACHS US EQUITY DIVIDEND AND PREMIUM FUND (GSPKX)	В	Dividend	К	Т	Buy (add'l)	01/11/17	J			
238.					Buy (add'l)	08/07/17	J			
239. GOLDMAN SACHS MLP ENERGY INFRAS FUND (GMLPX)	A	Dividend	3		Sold	08/04/17	1			
240. IRA #6 (H)										
241. ADOBE SYSTEMS INC (DELAWARE) (ADBE)		None	J	Т	1	30				
242. ALPHABET INC CL A (GOOGL)		None	J	Т						
243. ALPHABET INC CL C (GOOG)		None	J	Т						
244. AMAZON.COM INC (AMZN)		None	1	Т						
245. BANK OF AMER CORP (BAC)	A	Dividend	J	Т		35	:	1.6		
246. CELGENE CORP (CELG)		None	J	Т	-					
247. FACEBOOK INC CL A (FB)		None	J	Т						
248. LOREAL CO ADR FRANCE ADR DE	A	Dividend	1	Т	Buy	04/27/17	1			
249. MICROSOFT CORP (MSFT)	A	Dividend	J	Т	1		:			
250. CONSUMER DICRESTIONARY SELECT SECTOR SPDR FUND ETF (XLY)	A	Dividend	1	Т	Sold (part)	08/09/17	J			
251. ENERGY SELECT SECTOR SPDR FUND ETF (XLE)	A	Dividend	J	Т						
252. FINANCIAL SELECT SECTOR SPDR FUND ETF (XLF)	А	Dividend	1	Т						

1. Income Gain Codes:	A =\$1,000 or less	B=\$1,001 - \$2,500	C=\$2,501 - \$5,000	D=\$5,001 - \$15,000	E=\$15,001 - \$50,000
(See Columns B1 and D4)	F=\$50,001 - \$100,000	G=\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J=\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M=\$100,001 - \$250,000	
(See Columns C1 and D3)	N=\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 -\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3. Value Method Codes	O =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCEASEISCISOSCHREGOSPUNEFDTS Number 2277712 Filed 04/11/23 Page 20 of 39 of Report

Page 19 of 34

Schultz, David T.

08/08/2018

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

A. Description of Assets (including trust assets)	1,000,000	B. me during ting period	Gross va	Lue at end ing period	D. Transactions during reporting period					
Place "(X)" after each asset exempt from prior disclosure	(I) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
253. HEALTH CARE SELECT SECTOR SPDR FUND ETF (XLV)	A	Dividend	J	Т						
254. INDUSTRIAL SELECT SECTOR SPDR FUND ETF (XLI)	A	Dividend	J	Т						
255. ISHARES RUSSELL 2000 ETF (IWM)	A	Dividend	J	Т						
256. SPDR S&P PHARMACEUTICALS ETF (XPH)	A	Dividend			Sold	08/10/17	J			
257. VANGUARD TOTAL STOCK MKT ETF (VTI)	A	Dividend	J	Т						
258. VANGUARD S&P 500 ETF (VOO)	A	Dividend	J	Т	3	8				
259. VANGUARD FTSE PAC ETF DE (VPL)	A	Dividend	J	Т	Buy	04/27/17	J			
260. VANGUARD FTSE EUROPE ETF DE (VGK)	A	Dividend	J	Т	Buy	04/27/17	J			
261. VANGUARD FTSE EMERGING MARKETS ETF (VWO)	A	Dividend	J	Т	Buy	04/27/17	J			
262. OPPENHEIMER INTERNATIONAL GROWTH FUND Y CLASS (OIGYX)	A	Dividend	J	Т	3	8				
263. WILLIAM BLAIR EMERGING MARKETS SMALL CAP GROWTH FUND (BESIX)	A	Dividend	J	Т	Buy	04/27/17	1			
264. ISHARES TIPS BOND ETF (TIP)	A	Dividend	J	Т	0					
265. AMER FUNDS THE BOND FUND OF AMERICA FUND CLASS F2 (ABNFX)	A	Dividend	1	Т						
266. ANGEL OAK FLEXIBLE INCOME FUND CLASS I (ANFIX)	A	Dividend	J	Т						
267. DREYFUS/STANDISH GLOBAL FIXED INCOME FUND CLASS I (SDGIC)	A	Dividend	J	Т	52 52					
268. EATON VANCE FLOATING RATE ADVANTAGE CL I (EIFAX)	А	Dividend	J	Т						

(See Column C2)	Q =Appraisal U =Book Value	V =Other	S =Assessment W =Estimated	1 Cum market	
3. Value Method Codes	P3 =\$25,000,001 - \$50,000,000	R =Cost (Real Estate Only)	P4 =More than \$50,000,000	T -Cash Market	
(See Columns C1 and D3)	N=\$250,001 - \$500,000	O=\$500,001 - \$1,000,000	P1 -\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 + \$25,000,000	
2. Value Codes	J=\$15,000 or less	K =\$15,001 - \$50,000	L ~550,001 - \$100,000	M -\$100,001 - \$250,000	
(See Columns B1 and D4)	F=\$50,001 - \$100,000	G=\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
Income Gain Codes:	A=\$1,000 or less	B=\$1,001 - \$2,500	C=\$2,501 - \$5,000	D=\$5,001 - \$15,000	E=\$15,001 - \$50,000

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Page 20 of 34

Schultz, David T.

08/08/2018

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filling instructions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period					
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
269. EV MULTISECTOR INCOME FUND CLASS I (EVBIX)	A	Dividend	J	Т						
270. PRUDENTIAL TOTAL RETURN BOND FUND CLASS Z (PDBZX)	A	Dividend	1	Т						
271. GOLDMAN SACHS MULTI-MANAGER ALTS FUND CLASS I (GSMMX)	A	Dividend			Sold	08/09/17	J			
272. LONGBOARD MANAGED FUTURES STRATEGY FUND (WAVIX)	A	Dividend	1	Т						
273. SPDR GOLD SHARES ETF (GLD)		None	1	Т	Buy	08/15/17	J			
274. IRA #7 (H)										
275. UBS BANK DEPOSIT ACCOUNT (cash)	A	Interest	L	Т	Open	07/27/17	М			
276. BLACKROCK ENHANCED EQUITY DIVID TR (BDJ)	A	Dividend	1	Т	Buy	07/19/17	J			
277.					Buy (add'l)	09/21/17	1			
278. EATON VANCE TAX MANAGED BUY- WRITE INC FUND (ETB)	A	Dividend	1	Т	Buy	07/19/17	J			
279.					Buy (add'l)	09/21/17	J			
280. HEALTH CARE SELECT SECTOR SPDR FUND ETF (XLV)	A	Dividend	K	Т	Buy	07/19/17	J			
281,			3		Buy (add'l)	09/21/17	J			
282.					Buy (add'l)	12/20/17	J			
283. NUVEEN S&P 500 BUY-WRITE INCOME FUND (BXMX)	A	Dividend	К	Т	Buy	07/19/17	J			
284.					Buy (add'l)	09/21/17	J			
285.					Buy (add'l)	12/20/17	J			

1. Income Gain Codes:	A =\$1,000 or less	B=\$1,001 - \$2,500	C=\$2,501 - \$5,000	D=\$5,001 - \$15,000	E=\$15,001 - \$50,000
(See Columns B1 and D4)	F=\$50,001 - \$100,000	G=\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J=\$15,000 or less	K =\$15,001 - \$50,000	L =550,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N=5250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 -\$1,000,001 - \$5,000,000	P2 -\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S=Assessment	T -Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCEASEISCISOSURE 666 PUNE FDTS Namp of Cers 22 277 12 Filed 04/11/23 Page 22 of 35 of Report

Page 21 of 34

Schultz, David T.

08/08/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filling instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period					
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
286. ROYCE VALUE TRUST INC (RVT)	A	Dividend	J	Т	Buy	07/19/17	J			
287.			85		Buy (add'l)	09/21/17	J			
288.			3)		Buy (add'l)	12/20/17	J			
289. SPDR S&P DIVIDEND ETF (SDY)	A	Dividend	K	Т	Buy	07/19/17	1			
290.					Buy (add'l)	09/21/17	J			
291.					Buy (add'l)	12/20/17	J			
292. SPDR S&P INTL DIVIDEND ETF (DWX)	A	Dividend	J	Т	Buy	07/19/17	1			
293.					Buy (add'l)	09/21/17	1			
294. TEKLA HEALTHCARE INVESTORS FUND (HQH)	A	Dividend	J	Т	Buy	07/19/17	J			
295.					Buy (add'l)	09/21/17	J			
296. VANGUARD SMALL-CAP VALUE ETF (VBR)	A	Dividend	J	Т	Buy	07/19/17	1			
297.					Buy (add'l)	09/21/17	J			
298. BLACKROCK LTD DURATION INCOME TRUST (BLW)	A	Dividend	J	Т	Buy	07/19/17	J			
299.					Buy (add'l)	09/21/17	J			
300. BLACKROCK CREDIT ALLOCATION INCOME TRUST (BTZ)	A	Dividend	J	Т	Buy	07/19/17	J			
301.					Buy (add'l)	09/21/17	1			
302. EATON VANCE LTD DURATION INCOME FUND (EVV)	A	Dividend	J	Т	Buy	07/19/17	J			

1. Income Gain Codes:	
(See Columns B1 and D4	j
2. Value Codes	
(See Columns C1 and D3	j.

(See Column C2)

U -Book Value

B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O -\$500,001 - \$1,000,000

R -Cost (Real Estate Only)

V =Other

C=\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

D=\$5,001 - \$15,000 E=\$15,001 - \$50,000 H2 =More than \$5,000,000 M-\$100,001 - \$250,000

P2 -\$5,000,001 - \$25,000,000

T -Cash Market S=Assessment W =Estimated

FINANCE MSE 16 GIS ON THE PROPERTY OF STATE OF S

Page 22 of 34

Schultz, David T.

08/08/2018

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No re	portable income,	assets, or	transactions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		Gross va	lue at end ing period	D. Transactions during reporting period					
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
303.			V		Buy (add'l)	09/21/17	1			
304.					Buy (add'l)	12/20/17	J			
305. NUVEEN PFD SECURITIES INCOME FUND (JPS)	A	Dividend	J	Т	Buy	07/19/17	J			
306.			2		Buy (add'l)	09/21/17	J.			
307. NUVEEN QUALITY MUNICIPAL INCOME FUND (NAD)	A	Dividend	К	Т	Buy	07/19/17	1			
308.		5			Buy (add'l)	09/21/17	J			
309.					Buy (add'l)	12/20/17	J			
310. PIONEER DIVERSIFIED HIGH INCOME TRUST (HNW)	A	Dividend	J	Т	Buy	07/19/17	J			
311.					Buy (add'l)	09/21/17	1			
312. SPDR DOUBLINE SHORT DURATIONS TOTAL RETURN TACT ETF (STOT)	A	Dividend	J	Т	Buy	07/19/17	J			
313.					Buy (add'l)	09/21/17	J			
314.			3		Buy (add'l)	12/20/17	J			
315. VANGUARD INTER TERM GOVERNMENT BOND ETF (VGIT)	A	Dividend	J	Т	Buy	07/19/17	1			
316.			35		Buy (add'l)	09/21/17	J			
317. WESTERN ASSET HIGH INCOME FUND II INC (HIX)	A	Dividend	J	Т	Buy	07/19/17	J			
318.	,		8	×	Buy (add'l)	09/21/17	J.			
319. BLACKROCK ENHANCED CAPITAL & INCOME FUND INC (CII)	A	Dividend	J	Т	Buy	07/19/17	1			

1. Income Gain Codes:	
(See Columns B1 and D4)
2. Value Codes	
(See Columns C1 and D3	¥.

(See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J-\$15,000 or less N=\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000 Q =Appraisal

U -Book Value

B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only)

V =Other

C=\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =550,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S=Assessment

W-Estimated

D=\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T -Cash Market

E=\$15,001 - \$50,000

FINANCE ASPISE OF THE PRETOTS Number of Person Reporting Filed 04/11/23 Page 24 of 35 Page 24 Of 35

Schultz, David T.

08/08/2018

Page 23 of 34

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No	reportable	income,	assets, or	transactions.)
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A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period					
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
320.					Buy (add'l)	09/21/17	J			
321. BROKERAGE ACCOUNT #4 (H)										
322. UBS BANK USA DEP ACCT	A	Interest	К	Т						
323. BLACKROCK CAP & INCOME STRATEGIES FUND INC (CII)	A	Dividend	J	Т						
324. BLACKROCK ENHANCED EQUITY DIVID TR (BDJ)	A	Dividend	J	Т						
325. EATON VANCE TAX MANAGED BUY- WRITE INC FUND (ETB)	A	Dividend	J	Т	42					
326. HEALTH CARE SELECT SECTOR SPDR FUND ETF (XLV)	A	Dividend	J	Т	55					
327. NUVEEN S&P 500 BUY-WRITE INCOME FUND (BXMX)	A	Dividend	K	Т	Buy	03/22/17	J			
328.					Buy (add'l)	06/08/17	J			
329. ROYCE VALUE TRUST INC (RVT)	A	Dividend	1	Т						
330. SPDR S&P DIVIDEND ETF (SDY)	A	Dividend	J	Т						
331. SPDR S&P INTL DIVI F (DWX)	A	Dividend	1	Т	Buy (add'l)	06/08/17	J			
332. TELKA HEALTHCARE INVS (HQH)	A	Dividend	J	Т	1	1 59				
333. VANGUARD SMALL-CAP VALUE ETF (VBR)	A	Dividend	1	Т	2					
334. BLACKROCK LTD DURATION INCOME TRUST (BLW)	A	Dividend	J	Т		0 0				
335. BLACKROCK CREDIT ALLOCATION INCOME TRUST (BTZ)	A	Dividend	1	Т						
336. EATON VANCE LTD DURATION INCOME FUND (EVV)	A	Dividend	J	Т	:	8 99	11			

(See Column C2)

A =\$1,000 or less F =\$50,001 - \$100,000 J-\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000 Q =Appraisal

U -Book Value

B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K -515,001 - \$50,000 O-\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C=\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S=Assessment

W -Estimated

D=\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000

T -Cash Market

E=\$15,001 - \$50,000

FINANCE SEISGE ON THE CONTROL OF Person Reporting Piled 04/11/23 Page 25 of 35 Page 25 of 35 Page 24 of 34

Schultz, David T.

08/08/2018

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period					
	Place "(X)" after each asset exempt from prior disclosure	(I) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
337.	GUGGENHEIM BULLETSHARES 2019 HIGH YEILD CORP BOND ETF (BSJJ)	A	Dividend			Sold	09/28/17	J)	A		
338.	NUVEEN PFD SECURITIES INCOME FUND (JPS)	A	Dividend	J	Т	c .					
339.	NUVEEN QUALITY MUNICIPAL INCOME FUND (NAD)	A	Dividend	J	Т						
340.	PIONEER DIVERSIFIED HIGH INCOME TRUST (HNW)	A	Dividend	J	Т	Sold (part)	09/28/17	J	A		
341.	PROSHARES HIGH YIELD INT RATE HEDGED ETF (HYHG)	A	Dividend	J	Т						
342.	SPDR DOUBLELINE SHORT DURATION TOTAL RETURN TACTICAL ETF (STOT)	A	Dividend	J	Т	vi.					
343.	VANGUARD INTER TERM GOVERNMENT BOND ETF (VGIT)	A	Dividend	1	Т						
344.	WESTERN ASSET HIGH INCOME FUND II INC (HIX)	A	Dividend	1	Т						
345.	CALAMOS STRATEGIC TOTAL RETURN (CSQ)	A	Dividend	J	Т	Buy	09/28/17	1			
346.	BROKERAGE ACCOUNT #5 (H)										
347.	JPMORGAN CHASE FINANCIAL CT-SS RTY		None	К	Т						
348.	CREDIT SUISSE AG CB-GEARS SPX		None	К	Т						
349.	UBS AG BUFFERED ROS SPY		None	К	Т	10	38	::			
350.	UBS AG CB-GEARS SX5E		None	К	Т	Buy	07/31/17	К			
351.	HSBC USA INC CT-GEARS EEM		None	К	Т						
352.	CREDIT SUISSE AG L/O TA-CYN SPX SX5E	A	Dividend	К	Т	Sold (part)	06/13/17	К			

1. Income Gain Codes:
(See Columns B1 and D4)
2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A =\$1,000 or less F=\$50,001 - \$100,000 J-\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000 Q=Appraisal

U -Book Value

B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K -\$15,001 - \$50,000 O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C=\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 = More than \$50,000,000

W -Estimated

D=\$5,001 - \$15,000 H2 =More than \$5,000,000 M -\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 E =\$15,001 - \$50,000

Page 25 of 34

Name of Person Reporting DOC. 2277-2 Schultz, David T.

Filed 04/11/23 Page 26 of 35

08/08/2018

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period					
Place "(X)" after each asset exempt from prior disclosure		(2) Type (e.g., div., rent, or int.)	(I) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
353. BROKERAGE ACCOUNT #6 (H)		5			55					
354. UBS BANK USA DEP ACCT	A	Interest	J	Т	8	. S				
355. ACCENTURE PLC IRELAND CL A (ACN)	A	Dividend	J	Т	80					
356. APLPHABET INC CL A (GOOGL)		None	J	Т						
357. AMERICAN TOWER CORP REIT (AMT)	A	Dividend	J	Т						
358. CEGENE CORP (CELG)		None	J	Т	5	.si - cs				
359. CHEVRON CORP (CVX)	A	Dividend	J	Т	8					
360. COLGATE PALMOLIVE CO (CL)	A	Dividend	J	Т						
361. EDWARDS LIFESCIENCES CORP (EW)		None	J	Т	1:					
362. EXXON MOBIL CORP (XOM)	A	Dividend	2	2	Sold	09/11/17	J			
363. FACEBOOK INC CL A (FB)		None	J	Т	8					
364. FEDEX CORP (FDX)	A	Dividend	J	Т						
365. FORD MOTOR CO COM NEW (F)	A	Dividend			Sold	09/11/17	J			
366. GEN ELECTRIC CO (GE)	A	Dividend	0		Sold	09/14/17	J			
367. GILEAD SCIENCES INC (GILD)	A	Dividend	J	Т	Sold (part)	09/14/17	1			
368. INTEL CORP (INTC)	A	Dividend	J	Т	Sold (part)	09/14/17	J	A		
369. INTERCONTINENTIAL EXCHANGE GROUP (ICE)	A	Dividend	J	Т						

1. Income Gain Codes: (See Columns B1 and D4) 2. Value Codes

F=\$50,001 - \$100,000 J =\$15,000 or less (See Columns C1 and D3) N=\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000

3. Value Method Codes Q =Appraisal

A =\$1,000 or less

(See Column C2) U -Book Value B=\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K -\$15,001 - \$50,000

O-\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other

C=\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000

P1 -\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment

W -Estimated

D=\$5,001 - \$15,000 H2 =More than \$5,000,000 M -\$100,001 - \$250,000

P2 -\$5,000,001 - \$25,000,000

E=\$15,001 - \$50,000

T -Cash Market

FINANCIAL DISCLOSURE REPORT DTS Page 26 of 34

Name of Person Reporting
DOC. 2277-2 Schultz, David T.

Filed 04/11/23 Page 27 of 35

08/08/2018

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filling instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)		B. me during ting period	Gross va	lue at end ing period		Transaction	D. ons during	reporting pe	riod
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
370. J	PMORGAN CHASE & CO (JPM)	A	Dividend	1	Т					
371. L	AUDER ESTEE COS CL A DE (EL)	A	Dividend	J	Т	Buy	09/14/17	J		
372. L	ULULEMON ATHLETICA INC (LULU)		None	J	Т	:	11: 559	:		
373. N	MICROSOFT CORP (MSFT)	A	Dividend	1	Т					
374. N	MONDELEZ INTL INC DE (MDLZ)	A	Dividend	1	Т	Buy	09/11/17	J		
375.						Buy (add'l)	09/14/17	J		
376. N	MYLAN N V EUR DE (MYL)		None	J	Т	Buy	09/14/17	J		
377. (REILLY AUTOMOTIVE INC (ORLY)		None			Sold	09/11/17	J		
378. F	PALO ALTO NETWORKS INC (PANW)		None	1	Т					
379. F	PAYPAL HOLDINGS INC (PYPL)		None	J	Т					
380. F	PEPSICO INC (PEP)	A	Dividend	J	Т	Sold (part)	09/14/17	J	A	
381. F	PULTE GROUP INC DE (PHM)	A	Dividend	1	Т	Buy	09/14/17	J		
	ROBO GLOBAL ROBOTICS & AT NDEX ETF DE (ROBO)	A	Dividend	1	Т	Buy	09/14/17	J		
	SCHLUMBERGER LTD NETHERLANDS ANTILLES (SLB)	A	Dividend			Sold	09/13/17	J		
	THERMO FISHER SCIENTIFIC INC TMO)	A	Dividend	J	Т	:	100	=		
385. 1	TIX COS INC NEW (TIX)	A	Dividend			Sold	10/24/17	J		
386. L	UNTD TECHNOLOGIES CORP (UTX)	A	Dividend	J	Т					

1. Income Gain Codes: (See Columns B1 and D4) 2. Value Codes

F=\$50,001 - \$100,000 J=\$15,000 or less (See Columns C1 and D3) N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000

L =550,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S=Assessment

C=\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

D=\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 E=\$15,001 - \$50,000

T -Cash Market

A =\$1,000 or less

Name of Person Reporting
DOC. 2277-2 Schultz, David T.

Filed 04/11/23 Page 28 of 35

Date of Report

08/08/2018

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filling instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)	report	B. me during ting period	Gross va of reporti	lue at end ing period		6020000000	700-00255	reporting pe	(* 1515)
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
387.	US BANCORP DEL (NEW) (USB)	A	Dividend	J	Т	<u> </u>				
388.	WALT DISNEY CO (HOLDING CO) DISNEY COME (DIS)	A	Dividend			Sold	09/14/17	J		
389.	WHIRLPOOL CORP DE (WHR)	A	Dividend	J	Т	Buy	09/11/17	J		
390.						Buy (add'l)	09/14/17	1		
391.	3M CO (MMM)	A	Dividend	J	Т					
392.	ISHARES RUSSELL 2000 GROWTH ETF (IWO)	A	Dividend	1	Т					
393.	VANECK VECTORS MORNINGSTAR WIDE MOAT ETF (MOAT)	A	Dividend	J	Т		B) (S)			
394.	VANGUARD S&P 500 ETF (VOO)	A	Dividend	J	Т	Sold (part)	09/14/17	1	A	
395.	BROKERAGE ACCOUNT #7 (H)									
396.	UBS BANK DEPOSIT ACCOUNT	A	Int/Div.	К	Т	Open	10/05/17	J		
397.	BRISTOL MYERS SQUIBB (BMY) (X)	A	Dividend	J	Т		8 8			
398.	WP CAREY INC REIT (WPC) (X)	A	Dividend	J	Т			56		
399.	AMER FUNDS AMCAP F2 (AMCFX) (X)	A	Dividend	К	Т					
400.	AMER FUNDS CAPITAL WORLD GR & INC F2 (WGIFX) (X)	В	Dividend	К	Т					
401.	AMER FUNDS NEW PERSPECTIVE F2 (ANWFX) (X)	В	Dividend	К	Т	9	8 - 0			
402.	AMER FUNDS NEW WORLD FUND F2 (NFFFX) (X)	A	Dividend	J	Т					
403.	COHEN & STEERS REALTY SHARES (CSRSX) (X)	A	Dividend	J	Т					

(See Columns B1 and D4)	F=\$50,001 - \$100,000	G=\$100,001 - 5
2. Value Codes	J=\$15,000 or less	K =\$15,001 - \$3
(See Columns C1 and D3)	N=\$250,001 - \$500,000	O=\$500,001 - 5
7345-1000,000,000011-075-00130	P3 =\$25,000,001 - \$50,000,000	

U -Book Value

1. Income Gain Codes: A =\$1,000 or less

\$1,000,000 \$50,000 \$1,000,000

B=\$1,001 - \$2,500

C=\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 -\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000

D=\$5,001 - \$15,000 H2 =More than \$5,000,000 M -\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 E=\$15,001 - \$50,000

3. Value Method Codes (See Column C2)

Q=Appraisal

R -Cost (Real Estate Only)

S=Assessment W =Estimated

T -Cash Market

FINANCIAL DISCLOSURE REPORT CASE 0:15-md-02666-JNE-DTS Page 28 of 34

Name of Person Reporting Doc. 2277-2 Schultz, David T.

Filed 04/11/23 Page 29 of 35 08/08/2018

Date of Report

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filling instructions.)

	A. Description of Assets (including trust assets)		B. me during ting period	Gross va	lue at end ing period		Transactio	D. ons during	reporting pe	riod
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
404.	EATON VANCE LARGE CAP VALUE FUND I (EILVX) (X)	A	Dividend	J	Т					
405.	ROYCE PREMIER FUND (RYPRX) (X)	В	Dividend	J	Т					
406.	THORNBURG INTL VAL FUND I (TGVIX) (X)	В	Dividend	J	Т		3 30			
407.	FRANKLIN FEDERAL TAX-FREE INC FUND (FAFTX) (X)	A	Dividend	К	Т	3				
408.	FRANKLIN FEDERAL INTERIM TAX- FREE INCOME FUND (FITZX) (X)	A	Dividend	К	Т					
409.	NUVEEN LIMITED TERM MUN BOND I (FLTRX) (X)	A	Dividend	К	Т					
410.	THORNBURG LIMITED TERM MUN FUND I (LTMIX) (X)	A	Dividend	К	Т					
411.	AMER FUNDS CAPITAL INC BUILDER F2 (CAIFX) (X)	A	Dividend	J	Т		8 - 8			
412.	BLACKROCK MULTI-ASSET INC PORT INST (BIJCX) (X)	A	Dividend	J	Т					
413.	BLACKROCK GLOBAL ALLOCATION FUND INST (MALOX) (X)	A	Dividend	J	Т					
414.	FIRST EAGLE GLOBAL FUNDS I (SGIIX) (X)	A	Dividend	К	Т					
415.	FRANKLIN INC ADV (FRIAX) (X)	A	Dividend	J	Т		0 0	:5		
416.	OAKMARK EQUITY & INCOME I (OAKBX) (X)	В	Dividend	J	Т	c c	10 97			
417.	THE INCOME FUND OF AMERICA F2 (AMEFX) (X)	A	Dividend	К	Т					
418.	BROKERAGE ACCOUNT #8 (H)									
419.	UBS BANK DEPOSIT ACCT (X)	A	Interest	J	Т	9	8 - 0			
420.	AKAMAI TECHNOLOGIES (AKAM) (X)		None			Sold	08/09/17	J		

Income Gain Codes:	A =\$1,000 or less	B=\$1,001 - \$2,500	C=\$2,501 - \$5,000	D=\$5,001 - \$15,000	E=\$15,001 - \$50,000
(See Columns B1 and D4)	F=\$50,001 - \$100,000	G=\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J-\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N=\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 -\$1,000,001 - \$5,000,000	P2 -\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R=Cost (Real Estate Only)	S =Assessment	T -Cash Market	
(See Column C2)	U -Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT CASE 0:15-md-02666-JNE-DTS Page 29 of 34

Name of Person Reporting

Doc. 2277-2 Filed 04/11/23 Page 30 of 35 08/08/2018

Date of Report

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

A. Description of Assets (including trust assets)	3100000	B. me during ting period	Gross va	C. lue at end ing period		Transacti	D. ons during	reporting pe	riod
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(I) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
421. ALIBABA GROUP HLDG LTD (BABA) (X)		None	J	Т					
422. AMAZON.COM (AMZN) (X)		None	J	Т					
423. AMERIPRISE FINANCIAL (AMP) (X)	A	Dividend	J	Т					
424. BROADCOM LTD (AVGO) (X)	A	Dividend	1	Т					
425. CELGENE CORP (CELG) (X)		None	J	Т	i:	13 23	::		
426. CTRIP,COM INTL LTD (CTRP) (X)		None	J	Т					
427. DANAHER CORP (DHR) (X)	A	Dividend	J	Т	8				
428. DENTSPLY SIRONA INC (XRAY) (X)	A	Dividend	J	Т					
429. ELECTRONIC ARTS (EA) (X)		None	J	Т	10	18 18	::		
430. EXPEDIA INC (EXPE) (X)	A	Dividend	J	Т		1			
431. EXPRESS SCRIPTS HLDG CO (ESRX) (X)		None			Sold	08/09/17	J		
432. FORTIVE CORP (FTV) (X)	A	Dividend	J	Т					
433. HANESBRANDS INC (HBI) (X)	A	Dividend			Sold	08/04/17	J		
434. INCYTE CORP (INCY) (X)	A	Dividend	1	Т					
435. INTERCONTINENTAL EXCHANGE GROUP (ICE) (X)	A	Dividend	J	Т					
436. LEAR CORP (LEA) (X)	A	Dividend	J	Т					
437. MCKESSON CORP (MCK) (X)	A	Dividend	J	Т	ia.	10 54	1		

Income Gain Codes:	A =\$1,000 or less	B=\$1,001 - \$2,500	C=\$2,501 - \$5,000	D=\$5,001 - \$15,000	E=\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G=\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J=\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N=\$250,001 - \$500,000	O-\$500,001 - \$1,000,000	P1 -\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R -Cost (Real Estate Only)	S =Assessment	T -Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT CASE 0:15-md-02666-JNE-DTS

Name of Person Reporting

Doc 2277-2 Filed 04/11/23 Page 31 of 35,08/2018

Date of Report

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

	A. Description of Assets (including trust assets)	repor	B. me during ting period	Gross va of reporti	Luc at end ing period		Transaction	D. ons during	reporting pe	300-
	Place "(X)" after each asset exempt from prior disclosure	(I) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(I) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
138.	NVIDIA CORP (NVDA) (X)	A	Dividend	J	Т		8			
439.	PVH CORP (PVH) (X)	A	Dividend	J	Т	0				
440.	SCOTTS MIRACLE-GRO (SMG)		None	J	Т	Buy	08/09/17	J		
441.	SERVICE CORP INTL (SCI) (X)	A	Dividend	J	Т					
442.	ANDEAVOR (ANDV) (FORMERLY TESORO CORP (TSO)) (X)	A	Dividend	J	Т	3	6 0			
443.	TJX COS INC (TJX) (X)	A	Dividend	J	Т			37		
444.	UNITED TECHNOLOGIES (UTX) (X)	A	Dividend	J	Т					
445.	VISTEON CORP (VC) (X)		None	J	Т			:		
446.	ISHARES RUSSELL 2000 GROWTH (IWO) (X)	A	Dividend	J	Т	3	8 8			
447.	ISHARES NASDAQ BIOTECH (IBB) (X)	A	Dividend	J	Т					
448.	ISHARES US AEROSPACE & DEFENSE (ITA) (X)	A	Dividend	J	Т					
449.	ROBO GLOBAL ROBOTICS & AUTOMATION INDEX (ROBO) (X)	A	Dividend	J	Т					
450.	EDUCATION ACCOUNT #1 (H)			8			8 8			
451.	LEGG MASON SCHOLARS CHOICE 529 AGE BASED 7-9 C		None	М	Т		-222			
452.	EDUCATION ACCOUNT #2 (H)									
453.	LEGG MASON SCHOLARS CHOICE 529 AGE BASED 13-15 C		None	М	Т					
454.	EDUCATION ACCOUNT #3 (H)		<u> </u>	2)	Ø .	3	8 8			

1. Income Gain Codes:	A =\$1,000 or less	B=\$1,001 - \$2,500	C=\$2,501 - \$5,000	D=\$5,001 - \$15,000	E=\$15,001 - \$50,000
(See Columns B1 and D4)	F=\$50,001 - \$100,000	G=\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J-\$15,000 or less	K =\$15,001 - \$50,000	L-\$50,001 - \$100,000	M=\$100,001 - \$250,000	
(See Columns C1 and D3)	N=\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 -\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T -Cash Market	
(See Column C2)	U -Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

Page 31 of 34SE 0:15-md-02666-JNE-DTS

Schult D2277-2 Filed 04/11/23 Page 32 of 35,08/2018

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

	A.		B.	1.0000000000000000000000000000000000000		***		D.		
	Description of Assets (including trust assets)		ne during ing period	A STATE OF THE STA	lue at end ing period		Transactio	ons during	reporting pe	riod
	Place "(X)" after each asset exempt from prior disclosure	(I) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
455.	LEGG MASON SCHOLARS CHOICE 529 AGE BASED 10-12 C		None	М	Т					
456.	EDUCATION ACCOUNT #4 (H)			3		8	8 0			
457.	COLLEGE AMERICA 529 CAPITAL INCOME BUILDER CIB A		None	J	Т		- 0.			
458.	COLLEGE AMERICA 529 THE GROWTH FUND OF AMERICA - GFA A		None	J	Т					
459.	COLLEGE AMERICA 529 NEW PERSPECTIVE FUND NPF A		None	1	Т					
460.	EDUCATION ACCOUNT #5 (H)			8			8 - 0			
461.	COLLEGE AMERICA 529 CAPITAL INCOME BUILDER CIB A		None	J	Т					
462.	COLLEGE AMERICA 529 THE GROWTH FUND OF AMERICA - GFA A		None	J	Т					
463.	COLLEGE AMERICA 529 NEW PERSPECTIVE FUND NPF A		None	1	Т					
464.	EDUCATION ACCOUNT #6 (H)			2)	S7	3	.03			
465.	COLLEGE AMERICA 529 CAPITAL INCOME BUILDER CIB A		None	J	Т					
466.	COLLEGE AMERICA 529 THE GROWTH FUND OF AMERICA - GFA A		None	J	Т					
467.	COLLEGE AMERICA 529 NEW PERSPECTIVE FUND NPF A		None	1	Т					
468.	RETIREMENT SAVINGS PLAN #1 (H)				<i>y</i>		.8 - (9.			
469.	MASLON CASH BALANCE PENSION PLAN (NO CONTROL)	D	Interest	М	Т					
470.	MFS INTERNATIONAL VALUE R4		None			Sold	06/26/17	L	С	
471.	OPPENHEIMER DEVELOPING MARKETS I		None	· ·		Sold	06/26/17	L	С	

Income Gain Codes:	A =\$1,000 or less	B=\$1,001 - \$2,500	C=\$2,501 - \$5,000	D=\$5,001 - \$15,000	E=\$15,001 - \$50,000
(See Columns B1 and D4)	F=\$50,001 - \$100,000	G=\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N=\$250,001 - \$500,000	O-\$500,001 - \$1,000,000	P1 -\$1,000,001 - \$5,000,000	P2 -\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R=Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U -Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Page 32 of ASE 0:15-md-02666-JNE-DTS

School 2277-2 Filed 04/11/23 Page 33 of 35,08/2018

Date of Report

VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period					
Place " (X) " after each asset exempt from prior disclosure	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
472. OPPENHEIMER GLOBAL OPPORTUNITIES I		None			Sold	06/26/17	M	D		
473. VANGUARD EXPLORER ADM		None			Sold	06/26/17	L	D		
474. T. ROWE PRICE MID CAP GROWTH		None	\$		Sold	06/26/17	М	Е		
475. PARNASSUS CORE EQUITY INST	A	Dividend			Sold	06/26/17	М	D		
476. VANGUARD EQUITY-INCOME ADM	A	Dividend			Sold	06/26/17	L	D		

Income Gain Codes:	A =\$1,000 or less	B=\$1,001 - \$2,500	C=\$2,501 - \$5,000	D=\$5,001 - \$15,000	E=\$15,001 - \$50,000
(See Columns B1 and D4)	F=\$50,001 - \$100,000	G=\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J=\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N=\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 -\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
at any or a wall to be a particular	P3 =\$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S=Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V=Other	W -Estimated		

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Page 33 of 34 Schultz, David T. 08/08/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

Information in this report is based upon the filer's best available information and belief.

Part VII, Column D: Transactions January 1, 2017, through June 30, 2017, overlap with the initial reporting period.

Part VII, lines 470-476: Transaction details were not available prior to the end of the initial reporting period.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Page 34 oCASE 0:15-md-02666-JNE-DT\$ schocp 2/277-2 Filed 04/11/23 Page 35 of 358/08/2018

Date of Report

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ David T. Schultz

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

> Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544